

10/088388
JC10 Re PTO 14 MAR 2002

"Express Mail" Mailing Label Number: EL66183289609
Date of Deposit: March 14, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231.

PATRICIA M. VIRDEN

Typed or printed name of person mailing paper or fee

Patricia M. Virden

Signature of person mailing paper or fee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Warrick Smith

International Application Nos. PCT/AU00/01116

International Filing Date: 15 September 2002

Assistant Commissioner of Patents
Box Patent Application-FEE
Washington, DC 20231

NATIONAL FILING

Sir:

Enclosed are the national filing materials for a filing under 35 U.S.C. 371. Please note the enclosed preliminary amendment which substitutes claims 20-38 for claims 1-19 in the International Preliminary Examination Report. Please calculate the filing fee in view of the preliminary amendment.

Respectfully submitted,

JENSEN & PUNTIGAM, P.S.

By *Clark A. Puntigam*

Clark A. Puntigam #25,763

CAP:pmv

Phone: (206)448-3200

FAX: (206)441-5514

Encls: Transmittal Letter, Preliminary Amendment PCT
Specification, International Preliminary Examination
Report, Check and Postcard

| | | | | | |
|--|--|---|--|--|--|
| FORM PTO-1190 (REV. 11-2000) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/088388</div> | |
| INTERNATIONAL APPLICATION NO. PCT/AU00/01116 | | INTERNATIONAL FILING DATE 15 Sept. 2000 (15.09.00) | | PRIORITY DATE CLAIMED 15 Sept. 1999 (15.09.99) | |
| TITLE OF INVENTION MAGNETIC HOLDING DEVICE AND METHOD | | | | | |
| APPLICANT(S) FOR DO/EO/US Smith, Warrick | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: The international preliminary examination report IPEA/AU | | | | | |

| | | | | | | | |
|---|--------------|--------------|-----------|-------------------------------|----------------------------------|--------------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 10,000,588 | | | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | CALCULATIONS PTO USE ONLY | | |
| | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | \$ 1000 | | |
| | | | | | \$ 130 | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | |
| Total claims | 19 - 20 = | 0 | x \$18.00 | \$ 0 | | | |
| Independent claims | 3 - 3 = | 0 | x \$80.00 | \$ 0 | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$270.00 | | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1130 | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | + \$ 565 | | | |
| SUBTOTAL = | | | | \$ | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | | | |
| TOTAL NATIONAL FEE = | | | | \$ | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | | | |
| TOTAL FEES ENCLOSED = | | | | \$ 565 | | | |
| | | | | Amount to be refunded: | | \$ | |
| | | | | charged: | | \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>565</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-1900</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: <div style="text-align: right; margin-top: 20px;"><u>Clark A. Puntigam</u> SIGNATURE <u>Clark A. Puntigam</u> NAME <u>25,763</u> REGISTRATION NUMBER</div> | | | | | | | |